# Idempotent Generative Network

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## OUTLINE

- Background
- Method
- Experiments
- Conclusion

#### **BACKGROUND:** Generative Models

#### What is generative models?

What are in generative model family?



### **BACKGROUND:** Generative Models

What's the ideal generative models?

- One step projector
- Global projector



### **BACKGROUND:** Generative Models

A novel generative model —— Idempotent Generative Network

• The first step towards a "global projector"



### BACKGROUND: Idempotent

Applied sequentially multiple times without changing the result beyond the initial application:

$$f(f(z)) = f(z)$$

#### Examples: ||z|| = |z| Orthogonal Projection $A^2 = A$

GEORGE: You're gonna "overdry" it. JERRY: You, you can't "overdry." GEORGE: Why not? JERRY: Same as you can't "overwet." You see, once something is wet, it's wet. Same thing with dead: like once you die you're dead, right? Let's say you drop dead and I shoot you: you're not gonna die again, you're already dead. You can't "overdie," you can't "overdry."

- "Seinfeld", Season 1, Episode 1, NBC 1989

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### METHOD: Overview

Generate samples from a target distribution  $\mathcal{P}_x$  given input from a source

distribution  $\mathcal{P}_z$ 

Basic idea:

Learning a model f satisfy: f(x) = x f(f(z)) = f(z)Target distribution f(z) f(z)Source distribution (e.g., noise)

#### **Reconstruction objective**

Each sample  $x \sim \mathcal{P}_x$  is mapped to itself: f(x) = x

Define the drift measure of some instance y as:  $\delta_{\theta}(y) = D(y, f_{\theta}(y))$  $\mathcal{S} = \{y : f(y) = y\} = \{y : \delta(y) = 0\}$ 

#### **Idempotent objective**

Similarly, we hope  $f(z) \in \mathcal{S}$   $z \sim \mathcal{P}_z$  , that is f(f(z)) = f(z)

Then the idempotence objective is formulated then as follows:

 $\min_{\theta} \delta_{\theta}(f_{\theta}(z)) = \min_{\theta} D\left(f_{\theta}(z), f_{\theta}(f_{\theta}(z))\right)$ 

## Does it work?

What about  $f(z) = z \quad \forall z$ ?

Makes on the estimated manifold, but not imply other instances not on that

What does Idempotent optimization do?

- Mapping Z to S
- Expanding S



#### **Idempotent objective**

Only optimize w.r.t. the first  $f(\cdot)$  to discourage the incentive to expand

$$L_{idem}(z;\theta,\theta') = \delta_{\theta'}(f_{\theta}(z)) = D\left(f_{\theta'}(f_{\theta}(z)), f_{\theta}(z)\right)$$
$$\mathcal{L}_{idem}(\theta;\theta') = \mathbb{E}_{z}\left[L_{idem}(z;\theta,\theta')\right]$$

Not just discourage expand, but tighten:

$$L_{tight}(z;\theta,\theta') = -\delta_{\theta}(f_{\theta'}(z)) = -D\left(f_{\theta}(f_{\theta'}(z)), f_{\theta'}(z)\right)$$

Maximize the distance between f(y) and y

**Adversarial fashion** 







**Final optimization objective** 

$$\mathcal{L}(\theta, \theta') = \mathcal{L}_{rec}(\theta) + \lambda_i \mathcal{L}_{idem}(\theta; \theta') + \lambda_t \mathcal{L}_{tight}(\theta; \theta')$$
$$= \mathbb{E}_{x,z} \left[ \delta_{\theta}(x) + \lambda_i \delta_{\theta'}(f_{\theta}(z)) - \lambda_t \delta_{\theta}(f_{\theta'}(z)) \right]$$

### **METHOD: Training Strategy**

#### **Final optimization objective**



#### **METHOD:** Theoretical Results

How to prove the method is efficient?

• The convergenced generated distribution is aligned with target distribution

**Theorem 1.** Under ideal conditions, IGN converges to the target distribution. We define the generated distribution, represented by  $\mathcal{P}_{\theta}(y)$ , as the PDF of y when  $y = f_{\theta}(z)$  and  $z \sim \mathcal{P}_z$ . We split the loss into two terms.

$$\mathcal{L}(\theta;\theta') = \underbrace{\mathcal{L}_{rec}(\theta) + \lambda_i \mathcal{L}_{tight}(\theta;\theta')}_{\mathcal{L}_{rt}} + \lambda_t \mathcal{L}_{idem}(\theta;\theta')$$
(15)

We assume a large enough model capacity such that both terms obtain a global minimum:

$$\theta^* = \arg\min_{\theta} \mathcal{L}_{rt}(\theta; \theta^*) = \arg\min_{\theta} \mathcal{L}_{idem}(\theta; \theta^*)$$
(16)

Then,  $\exists \theta^* : \mathcal{P}_{\theta^*} = \mathcal{P}_x$  and for  $\lambda_t = 1$ , this is the only possible  $\mathcal{P}_{\theta^*}$ .

#### **METHOD:** Theoretical Results

We first find the global minimum of  $\mathcal{L}_{rt}$  given the current parameters  $\theta^*$ :

$$\mathcal{L}_{rt}(\theta;\theta^*) = \mathbb{E}_x \left[ D(f_\theta(x), x) \right] - \lambda_t \mathbb{E}_z \left[ D(f_\theta(f_{\theta^*}(z)), f_{\theta^*}(z)) \right]$$
(17)

$$= \int \delta_{\theta}(x) \mathcal{P}_{x}(x) dx - \lambda_{t} \int \delta_{\theta}(f_{\theta^{*}}(z)) \mathcal{P}_{\theta^{*}}(z) dz$$
(18)

We now change variables. For the left integral, let y := x and for the right integral, let  $y := f_{\theta^*}(z)$ .

$$\mathcal{L}_{rt}(\theta;\theta^*) = \int \delta_{\theta}(y) \mathcal{P}_x(y) dy - \lambda_t \int \delta_{\theta}(y) \mathcal{P}_{\theta^*}(y) dy$$
(19)

$$= \int \delta_{\theta}(y) \Big( \mathcal{P}_x(y) - \lambda_t \mathcal{P}_{\theta^*}(y) \Big) dy$$
(20)

We denote  $M = \sup_{y_1, y_2} D(y_1, y_2)$ , where the supremum is taken over all possible pairs  $y_1, y_2$ . Note that M can be infinity. Since  $\delta_{\theta}$  is non-negative, the global minimum for  $\mathcal{L}_{rt}(\theta; \theta^*)$  is obtained when:

$$\delta_{\theta^*}(y) = M \cdot \mathbb{1}_{\{\mathcal{P}_x(y) < \lambda_t \mathcal{P}_{\theta^*}(y)\}} \quad \forall y$$
(21)

Next, we characterize the global minimum of  $\mathcal{L}_{idem}$  given the current parameters  $\theta^*$ :

$$\mathcal{L}_{idem}(\theta, \theta^*) = \mathbb{E}_z \left[ D\left( f_{\theta^*}(f_{\theta}(z)), f_{\theta}(z) \right) \right] = \mathbb{E}_z \left[ \delta_{\theta^*}(f_{\theta}(z)) \right]$$
(22)

Plugging in Eq. 21 and substituting  $\theta^*$  with  $\theta$  as we examine the minimum of the inner f:

$$\mathcal{L}_{idem}(\theta;\theta^*) = M \cdot \mathbb{E}_z \big[ \mathbb{1}_{\{\mathcal{P}_x(y) < \lambda_t \mathcal{P}_\theta(y)\}} \big]$$
(23)

To obtain  $\theta^*$ , according to our assumption in Eq. 16, we take  $\arg \min_{\theta}$  of Eq. 23:

$$\theta^* = M \cdot \operatorname*{arg\,min}_{\theta} \mathbb{E}_z \left[ \mathbb{1}_{\{\mathcal{P}_x(y) < \lambda_t \mathcal{P}_\theta(y)\}} \right]$$
(24)

The presence of parameters to be optimized in this formulation is in the notion of the distribution  $\mathcal{P}_{\theta}(y)$ . If  $\mathcal{P}_{\theta^*} = \mathcal{P}_x$  and  $\lambda_t \leq 1$ , the loss value will be 0, which is its minimum. If  $\lambda = 1$ ,  $\theta^* : \mathcal{P}_{\theta^*} = \mathcal{P}_x$  is the only minimizer. This is because the total sum of the probability needs to be 1. Any y for which  $\mathcal{P}_{\theta}(y) < \mathcal{P}_x(y)$  would necessarily imply that  $\exists y$  such that  $\mathcal{P}_{\theta}(y) > \mathcal{P}_x(y)$ , which would increase the loss. In practice, we use  $\lambda_t < 1$ . While the theoretical derivation guarantees a single desired optimum for  $\lambda_t = 1$ , the practical optimization of a finite capacity neural network suffers undesirable effects such as instability. The fact that f is continuous makes the optimal theoretical  $\theta^*$  which produces a discontinuous  $\delta_{\theta^*}$  unobtainable in practice. This means that  $\mathcal{L}_{tight}$  tends to push toward high values of  $\delta_{\theta}(y)$  also for y that is in the estimated manifold. Moreover, in general, it is easier to maximize distances than minimize them, just by getting big gradient values.

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#### Network architecture: Autoencoder from DCGAN



Dataset: MNIST(28\*28), CelebA(64\*64)

#### Generation from noise

#### FID=39 (DCGAN FID=34)



#### Out-of-distribution projection

Generation from noisy image, grayscale and sketches



#### Latent space manipulations





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### CONCLUSION

- Compared with GAN
  - Self-adversarial
- Compared with Diffusion
  - The trajectory between distributions is determined solely by the model's learning process but not set rule



#### 28

### CONCLUSION

#### Advantage

- A global projector, can apply to never seen data
- One step projector
- Allow more accurate finetune by multi-step map

#### Limitation

- Mode collapse
- Blurriness
- Unsteadiness



# Thanks for listening!